



PATENT  
Docket: P-10855.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant(s): Haarstad et al	)	Art Unit:
	)	
Serial No.: 10/675,815	)	Examiner:
	)	
Filed: 09-30-2003	)	
For: METHOD AND APPARATUS PROVIDING SUCTION-ASSISTED TISSUE ENGAGEMENT THROUGH A MINIMALLY INVASIVE INCISION		

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application.

Consideration of each of the documents listed on the attached Form 1449 is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicant further requests that a copy of the Form 1449, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Respectfully submitted,

Philip J. Haarstad et al  
By their Representatives,

By: Daniel W. Latham  
Daniel W. Latham  
Attorney for Applicants  
Registration No. 30,401  
Telephone: (763) 391-9661  
Customer No.: 27581

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

In re Application of: Haarstad et al  
For: METHOD AND APPARATUS PROVIDING SUCTION-ASSISTED TISSUE ENGAGEMENT THROUGH A MINIMALLY  
INVASIVE INCISION  
Serial No.: 10/675,815  
Filed: 09-30-2003

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8:** I hereby certify that this INFORMATION DISCLOSURE STATEMENT and the paper(s), as described herein, are being deposited in the U.S. Postal Service, as first class mail, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 15 day of January, 2004.

  
Barbara J. Lakanen  
Signature  
Barbara J. Lakanen  
Printed Name

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
Sir:

We are transmitting herewith the attached:

- Information Disclosure Statement Transmittal
- Information Disclosure Statement
- PTO FORM 1449
- Copies of cited foreign patent documents and other references
- Return Postcard

**FEE CALCULATION**

- \$ 00.00 Pursuant to 37 CFR §1.97(b)
- \$ 00.00 Pursuant to 37 CFR §1.97(c) with Certification
- \$ 00.00 Pursuant to 37 CFR §1.97(e) with Certification
- \$240.00 Pursuant to 37 CFR §1.97(c) without Certification
- \$130.00 Pursuant to 37 CFR §1.97(d) with Certification

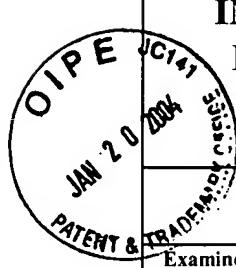
- Applicant hereby petitions for a      months' extension of time. If an additional extension of time is required, please consider this petition therefor.
- Applicant believes that no extension of time is required. However, if an extension of time is required, please consider this a petition therefor to provide for the possibility that applicant has inadvertently overlooked the need for an extension of time.
- Please charge any additional fees or credits to Deposit Account No. 13-2546 which may have been overlooked on this Amendment Transmittal with regard to this filing. A duplicate of this transmittal is enclosed.

1/13/2004

Date

DWL-2-

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Customer No.: 27581



<b>INFORMATION DISCLOSURE STATEMENT</b>		<b>Atty. Docket No.: P-10855.01</b>		<b>Serial No.: 10/675,815</b>			
		<b>Applicant(s): Haarstad et al</b>					
		<b>Filing Date: 09-30-2003</b>		<b>Group:</b>			
<b>U.S. PATENT DOCUMENTS</b>							
<b>Examiner Initial</b>		<b>Document Number</b>	<b>Date</b>	<b>Name</b>	<b>Class</b>	<b>SubClass</b>	<b>Filing Date If Appropriate</b>
		452,131	05/1891	Haughawout			
		3,783,873	01/1974	Jacobs	128	303R	
		4,049,002	09/1977	Kletschka et al	128	318	
		4,306,561	12/1981	De Medinaceli	128	303.13	
		4,688,570	08/1987	Kramer et al	128	305	
		4,726,356	02/1988	Santilli et al	128	20	
		4,925,443	05/1990	Heilman et al	600	16	
		4,955,896	09/1990	Freeman	606	210	
		4,973,300	11/1990	Wright	600	37	
		5,098,369	03/1992	Heilman et al	600	16	
		5,119,804	06/1992	Anstadt	12	64	
		5,131,905	07/1992	Grooters	600	16	
		5,300,087	04/1994	Knoepfler	606	207	
		5,336,252	08/1994	Cohen	607	119	
		5,374,277	12/1994	Hassler	606	207	
		5,383,840	01/1995	Heilman et al	600	17	
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		5,452,733	09/1995	Sterman et al	128	898	
		3,858,926	01/1975	Ottenhues	294	64 R	
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		4,718,418	01/1988	L'Esperance, Jr.	128	303.1	
		4,808,163	02/1989	Laub	604	105	
		4,854,318	08/1989	Solem et al	128	346	
		4,865,019	09/1989	Phillips	128	20	
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		5,011,469	04/1991	Buckberg et al	604	4	
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		5,167,223	12/1992	Koros et al	128	20	
		5,287,861	02/1994	Wilk	128	898	
		5,365,921	11/1994	Bookwalter et al	128	20	
<b>EXAMINER</b>			<b>Date Considered</b>				

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.


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	5,372,124	12/1994	Takayama et al	128	4	
	5,425,705	06/1995	Evard et al	604	28	
	5,437,651	08/1995	Todd et al	604	313	
	5,807,243	09/1998	Vierra et al	600	204	
	5,108,412	04/1992	Krumeich et al	606	166	
	5,749,892	05/1998	Vierra et al	600	204	
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	4,991,578	02/1991	Cohen	128	419 D	
	5,009,660	04/1991	Clapham	606	166	
	4,962,758	10/1990	Lasner et al	128	41	
	5,545,123	08/1996	Ortiz et al	600	235	
	5,836,311	11/1998	Borst et al	128	897	
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	4,904,012	02/1990	Nishiguchi et al	294	64	
	3,786,815	01/1974	Ericson	128	321	
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	6,110,187	08/2000	Donlon	606	151	
	5,894,843	04/1999	Benetti et al	128	898	
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	6,019,722	02/2000	Spence et al	600	210	
	5,782,746	07/1998	Wright	600	37	
	4,096,864	06/1978	Kletschka et al	128	354	
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**EXAMINER****Date Considered**

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		4,627,421	12/1986	Symbas et al	128	20	
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		5,171,254	12/1992	Sher	606	166	
		4,047,532	09/1977	Phillips et al	128	303 R	
		5,613,937	03/1997	Garrison et al	600	201	
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		5,875,782	03/1999	Ferrari et al	128	898	
		5,906,607	05/1999	Taylor et al	606	1	
		6,032,672	03/2000	Taylor	128	898	
		6,071,235	06/2000	Furnish et al	600	235	
		6,139,492	10/2000	Vierra et al	600	204	
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		5,799,661	09/1998	Boyd et al	128	898	
		5,827,216	10/1998	Igo et al	604	21	
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		4,973,300	11/1990	Wright	600	37	
		4,350,160	09/1982	Kolesov	128	334 R	
		5,865,730	02/1999	Fox et al	600	228	
		5,876,332	03/1999	Looney	600	227	
		5,891,017	04/1999	Swindle et al	600	205	
		5,984,864	11/1999	Fox et al	600	201	
		6,007,486	12/1999	Hunt et al	600	205	
		6,033,362	03/2000	Cohn	600	213	
		6,036,641	03/2000	Taylor et al	600	231	
		6,113,534	09/2000	Koros et al	600	213	

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JAN 20 2004

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PATENT & TRADEMARK OFFICE  
U.S. DEPARTMENT OF COMMERCE

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Examiner Initial		Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
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		5,891,017	04-06-1999	Swindle et al	600	205	
		5,957,835	09-28-1999	Anderson et al	600	201	
		5,967,972	10-19-1999	Santilli et al	600	232	
		6,030,340	02-29-2000	Maffei et al	600	233	
		5,976,080	11-02-1999	Farascioni	600	213	
		6,033,362	03-07-2000	Cohn	600	213	
		6,036,641	03-14-2000	Taylor et al	600	231	
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		4,049,000	09-20-1977	Williams	128	279	
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		5,503,617	04-02-1996	Jako	600	201	
		5,730,757	03-24-1998	Benetti et al	606	198	
		5,772,583	06-30-1998	Wright et al	600	232	
		5,782,746	07-21-1998	Wright	600	37	
		5,888,247	03-30-1998	Benetti	623	66	
		5,947,896	09-07-1999	Sherts et al	600	229	
		5,976,171	11-02-1999	Taylor	606	198	
		6,017,304	01-25-2000	Vierra et al	600	204	
		6,050,266	04-18-2000	Benetti et al	128	898	
		6,152,874	11-28-2000	Looney et al	600	214	
		5,238,334	05-29-2001	Easterbrook, III et al	600	16	
		5,927,284	07-27-1999	Borst et al	128	898	
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		6,258,023	07-10-2001	Rogers et al	600	37	
		6,251,065	06-26-2001	Kochamba et al	600	37	
		6,210,323	04-03-2001	Gilhuly et al	600	210	
		6,071,295	06-06-2000	Takahashi	606	191	
		6,213,941	04-10-2001	Benetti et al	600	235	
		6,506,149	01-14-2003	Peng et al	600	37	
		6,589,166	07-08-2003	Knight et al	600	205	
		6,602,183	08-05-2003	Levi et al	600	37	

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JAN 20 2004

## FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	SubClass	Translation	
						Yes	No
	WO 96/00033	01/1996 ✓	PCT			X	
	WO 94/03142	02/1994 ✓	PCT			X	
	0 293 760 A3 ✓	05/1988	EPO			X	
	DE 29708050 ✓	05/1997	Germany			X	
	G 9004513.0 ✓	04/1990	Germany			X	
	WO 87/04081 ✓	07/1987	PCT			X	
	WO 88/00481	01/1988	PCT			X	
	WO 94/14383 ✓	07/1994	PCT			X	
	WO 95/15715 ✓	06/1995	PCT			X	
	WO 95/17127 ✓	06/1995	PCT			X	
	WO 97/10753 ✓	03/1997	PCT			X	
	WO 95/01757 ✓	01/1995	PCT			X	
	WO 98/10705 ✓	03/1998	PCT			X	
	WO 98/17182	04/1998	PCT			X	
	WO 98/27869	07/1998	PCT			X	
	GB 2267827	12/1993	United Kingdom			X	
	0 630 629 A1	12/1994	EPO			X	
	0 668 058 A1	08/1995	EPO			X	
	0 808 606 A1	11/1997	EPO			X	
	0 167 345 A1	01/1986	EPO			X	
	0 920 835 A1	06/1999	EPO			X	
	WO 99/16367	04/1999	PCT			X	
	GB 2 140 695A	12/1984	UK			X	
	GB 2 214 428A	09/1989	UK			X	
	GB 2 214 428B	06/1991	UK			X	
	0 432 560 A2	11/1990	EPO			X	
	WO 94/14715	07/1994	PCT			X	
	WO 94/18881	09/1994	PCT			X	
	0 908 139 A1	04/1999	EPO			X	
	0 919 193 A1	06/1999	EPO			X	
	WO 00/06041	02-10-2000	PCT			X	
	WO 97/40751	11-06-1997	PCT			X	
	WO 98/49947	11-12-1998	PCT			X	
	WO 98/48703	11-05-1998	PCT			X	
	WO 99/08585	02-25-1999	PCT			X	
	WO 99/09892	03-04-1999	PCT			X	

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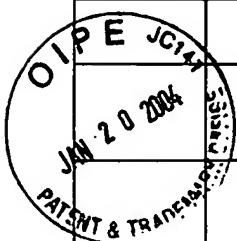
## **FOREIGN PATENT DOCUMENTS**

**EXAMINER**

### Data Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

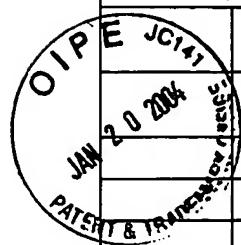
<b>OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)</b>	
O I P E S C I A JAN 20 2004 P A T E N T & T R A D E M A R K O F F I C E	Mammary Artery-Coronary Artery Anastomosis as Method of Treatment for Angina Pectoris, V.I Kolessov, MD/Thoracic and Cardiovascular Surgery, Vol. 54, No. 4, Oct 1967 pp 535-544
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	Transfemoral Placement of the Left Ventricular Assist Device "Hemopump" During Mechanical Resuscitation, KH Scholz; U Tebbe; M Chemnitius; H Kreuzer; T Schroder; JP Hering; P Uhlig; G Hellige; HJ Grone; R Autschbach; B Schorn; W Ruschewski; and H Dalichau, Thoracic and Cardiovascular Surgeon, Vol 38 (1990) pp. 69-72
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<b>EXAMINER</b>	<b>Date Considered</b>
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

**OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)**

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	Regional Cardiac Wall Immobilization for Open Chest and Closed Chest Coronary Artery Bypass Grafting on the Beating Heart: The 'Octopus' Method, Circulation, Vol 92. No. 8 Supplement 1, I-177 (Oct. 15, 1995)
	A Minimally Invasive Surgical Method for Coronary Revascularization – Preliminary Experience in Five Patients, MC Robinson, DR Gross, and W Zeman, Circulation, (Oct. 15, 1995) Vol. 92, No. 8, I-176
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